

Form ML(B)

Money Laundering Regulations

Notes on Verification of Identity

As part of the Pointon York SIPP Solutions Limited procedures for new business and the opening of bank accounts, the requirements of the UK Money Laundering Regulations 2007 and subsequent legislation and guidance need to be met. This process applies to all providers of financial services in all countries of the EU.

This is frequently achieved by the completion of a Verification of Identity Certificate by an adviser to The Pointon York SIPP holder, who has previously carried out a money laundering procedure.

Where there is no adviser, or the adviser is unable to verify the identity for some reason, Pointon York SIPP Solutions Limited is required to carry out the necessary process to confirm your identity and your address.

Please note the following:

- If you are unable to obtain verification by your adviser, the sections below must be completed, and the necessary documents provided to Pointon York SIPP Solutions Limited, irrespective of whether you plan to make ongoing contributions.
- Your Pointon York SIPP will not be established and no contributions or transfer values will be accepted into The Pointon York SIPP or the SIPP bank account until this verification process has been completed.
- Pointon York SIPP Solutions Limited is required to see the original documents referred to below. The regulations do not permit us to accept a photocopy.

Those documents supplied to us that are particularly significant (e.g. driving licence, passport etc) will be returned by Royal Mail Special Delivery.

- The regulations require that you supply evidence of your identity and separate evidence of your address; thus you may use a Driving Licence or a State Pension or Benefits book as evidence of your identity or evidence of your address but not both.

Please select the forms of evidence that you wish to supply, tick the appropriate boxes, and then sign and date the form.

Evidence of Name (please tick box)

- | | |
|---|--------------------------|
| Current Full Signed Passport | <input type="checkbox"/> |
| Resident Permit issued to EU Nationals by Home Office | <input type="checkbox"/> |
| Current UK/EU Photo Driving Licence | <input type="checkbox"/> |
| Current Full UK Driving Licence (Old Style) | <input type="checkbox"/> |
| Firearms Certificate | <input type="checkbox"/> |
| State Pensions or Benefits Book / notification letter | <input type="checkbox"/> |
| Sub-Contractors certificate (1) | <input type="checkbox"/> |
| HM Revenue and Customs tax notification | <input type="checkbox"/> |

Evidence of Address (please tick box)

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|--|--------------------------|
| Solicitor letter confirming completion of house purchase or land registration (2)(3) | <input type="checkbox"/> |
| Most recent mortgage statement | <input type="checkbox"/> |
| Current UK/EU Photo Driving Licence | <input type="checkbox"/> |
| Current Full UK Driving Licence (Old Style) | <input type="checkbox"/> |
| Current Local Authority Tax bill | <input type="checkbox"/> |
| State Pensions or Benefits Book / notification letter | <input type="checkbox"/> |
| Utility bill (not mobile phone) | <input type="checkbox"/> |
| Bank/building society/credit union statement or passbook | <input type="checkbox"/> |
| Local Authority rent card or tenancy agreement | <input type="checkbox"/> |

Notes:

- (1) For self employed persons in the construction industry – tax exemption certificate with photograph
- (2) You must submit a certified copy of the search if you are relying on this as evidence.
- (3) The previous address must also be verified – please also select the evidence for this and enclose the document.
- (4) Please note that PYSS reserves the right to use Equifax Plc systems online to verify your identity and address. Equifax Plc is part of Equifax Inc.

Signed

Name (please print)

Date

Day Month Year